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| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> | Attorney Docket No.                      | 200427US0CONT   |
|   | First Inventor or Application Identifier | Claudio CAVAZZA   |
|   | Title                                    | PHARMACEUTICAL COMPOSITION COMPRISING CARNITINE OR ALKANOYL L-CARNITINE, FOR THE PREVENTION AND TREATMENT OF DISEASES BROUGHT ABOUT BY LIPID METABOLISM DISORDERS |
| Assignee Name:  |  | Sigma-Tau Industrie Farmaceutiche Riunite S.p.A.  |
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11000 U.S. PTO  
09/07/01

02/07/01

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|---|---|
| <b>APPLICATION ELEMENTS</b><br><small>See MPEP chapter 800 concerning utility patent application contents</small>   | <b>ADDRESS TO:</b> Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231   |
| See Transmittal Form (e.g. PTO/SB/17)<br><small>(Submit an original and a duplicate for fee processing)</small>   | <b>ACCOMPANYING APPLICATION PARTS</b>   |
| Specification Total Sheets <b>24</b><br>Drawing(s) (35 U.S.C. 113) Total Sheets <b>1</b>  | 7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))<br>8. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76<br>9. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney<br><small>(when there is an assignee)</small><br>10. <input type="checkbox"/> English Translation Document (if applicable)<br>11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations<br>12. <input checked="" type="checkbox"/> Preliminary Amendment<br>13. <input checked="" type="checkbox"/> White Advance Serial No. Postcard<br>14. <input type="checkbox"/> Certified Copy of Priority Document(s)<br><small>(if foreign priority is claimed)</small><br>15. <input type="checkbox"/> Applicant claims small entity status.<br><small>See 37 CFR 1.27</small><br>16. <input checked="" type="checkbox"/> Other: Request for Priority Check for \$710.00 |
| 4. <input checked="" type="checkbox"/> Oath or Declaration Total Pages <b>2</b><br>a. <input type="checkbox"/> Newly executed (original or copy)<br>b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d))<br><small>(for continuation / divisional w/ box 16 completed)</small><br>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br><small>Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).</small><br>5. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)<br>6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)<br>a. <input type="checkbox"/> Computer Readable Form (CRF)<br>b. Specification or Sequence Listing on:<br>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or<br>ii. <input type="checkbox"/> Paper<br>c. <input type="checkbox"/> Statements verifying identity of above copies |   |

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.: 09/042,646, allowed November 29, 2000

Prior application information: Examiner: G. Kishore Group Art Unit: 1615

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

☒ This application is a ☒ Continuation ☐ Division ☐ Continuation-in-part (CIP) of application Serial No. 09/042,646 Filed on March 17, 1998, allowed, which is a Continuation of application Serial No. 08/758,460, now abandoned.

☐ Which was published in English  
☐ Which was not published in English  
☐ This application claims priority of provisional application Serial No. Filed

19. CORRESPONDENCE ADDRESS

  
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| Name:      | Norman F. Oblon             | Registration No.: | 24,618 |
| Signature: | Thomas Cunningham           | Date:             | 2-7-01 |
| Name:      | Thomas M. Cunningham, Ph.D. | Registration No.: | 45,394 |

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Claudio CAVAZZA

SERIAL NO: New Application

FILING DATE: Herewith

FOR: PHARMACEUTICAL COMPOSITION COMPRISING CARNITINE OR ALKANOYL L-CARNITINE, FOR THE PREVENTION AND TREATMENT OF DISEASES BROUGHT ABOUT BY LIPID METABOLISM DISORDERS

## FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

| FOR  | NUMBER<br>FILED | NUMBER<br>EXTRA | RATE      | CALCULATIONS |
|--|-----------------|-----------------|-----------|--------------|
| TOTAL CLAIMS   | 20 - 20 =       | 0               | × \$18 =  | \$0.00       |
| INDEPENDENT CLAIMS   | 1 - 3 =         | 0               | × \$80 =  | \$0.00       |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)   |                 |                 | + \$270 = | \$0.00       |
| <input type="checkbox"/> LATE FILING OF DECLARATION                  |                 |                 | + \$130 = | \$0.00       |
| BASIC FEE  |                 |                 |           | \$710.00     |
| TOTAL OF ABOVE CALCULATIONS  |                 |                 |           | \$710.00     |
| <input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY |                 |                 |           | \$0.00       |
| <input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE              |                 |                 | + \$130 = | \$0.00       |
| <input type="checkbox"/> RECORDATION OF ASSIGNMENT                   |                 |                 | + \$40 =  | \$0.00       |
| TOTAL  |                 |                 |           | \$710.00     |

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A duplicate copy of this sheet is enclosed.

A check in the amount of \$710.00 to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030.  
A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

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